Chemistry of 4-Oxo-4*H*-[1]benzopyran-3-carboxaldehyde Chandra Kanta Ghosh

Organic Chemistry Laboratory, Department of Biochemistry, Calcutta University, Calcutta 700 019, India Received January 3, 1983

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I. Introduction.

4-Oxo-4H-[1]benzopyran (trivial name - chromone) received early attention of chemists because of its natural abundance in the flavonoid family, and the chemistry of this benz-annulated γ -pyrone as well as the parent γ-pyrone itself has been extensively studied in the past over a long period [1-3]. The resurgent interest in the chemistry of chromones is, however, due largely to their pharmaceutical activity [4]. The success of disodium chromoglycate in the treatment of certain types of bronchial asthma [5] and the recognition of the O-C=C-C=O grouping as the structural requirement for activity in this compound [6] have led to a spate of investigations into chromones bearing a reactive functionality on the pyran ring [7-10]. Of the different functionalised chromones, chromone-3-carboxaldehyde occupies a unique position because of a number of heterocycles obtainable thereform and this system is being extensively studied since 1967. The reactions of chromone bearing a formyl group attached to the pyran as well as the benzene ring published up to 1976 have been compiled by Ellis [11] in a review article. Since then many publications on the chemistry of chromone-3-carboxaldehyde alone have appeared, and thus they warrant a current review. The present review will present a comprehensive survey of the synthesis, properties, reactions of chromone-3-carboxaldehyde and the utilisation of its simple condensates for the preparation of different chemical systems, and covers the literature through volume 97 of Chemical Abstracts. Alkyl, alkoxy, and halogeno substituents in the benzene ring remain unaffected in most of the reactions described for the unsubstituted chromone-3-carboxaldehyde in this review.

II. Synthesis.

A mixture of o-hydroxy- ω -formylacetophenone and ethyl orthoformate on refluxing in acetic acid [12] or by mild treatment with perchloric acid [13] produces 3-formyl-chromone 1. o-Hydroxy- ω -formylacetophenone also gives rise to 1 by treatment with acetic-formic anhydride and sodium formate under mild conditions [14]. A facile synthesis of 1 involves the treatment of o-hydroxyacetophenone with Vilsmier-Haack reagents [15-18]. o-Hydroxy- ω -(methylsulfinyl)acetophenone (6) obtained by the action of sodium methyl sulfinylmethide on salicylic ester on treatment with two moles of formaldehyde in the presence of a base gives 3-(hydroxymethyl)-3-(methylsulfinyl)-4-chromanone (7) that can be converted by thermal elimination of

CH₃SOH to 3-hydroxymethylchromone (2) [19]. The latter can be oxidised to 1 with either concentrated nitric acid or sodium dichromate-acetic acid [12].

III. Properties and Spectra.

The properties of the γ -pyrone ring are essentially aliphatic, even though it gives reaction characteristics of an aromatic pyryllium betaine more readily than an α-pyrone and the properties of the heterocyclic ring are not greatly modified as a consequence of benz-annulation [20]. Being non-aromatic, the parent chromone 3 may be regarded as a β -keto-enol ether, this property being manifested in its reaction with a number of nucleophiles. Hence chromone-3-carboxaldehyde (1) is likely to behave as an α -benzoyl- β -aryloxy- α , β -unsaturated aldehyde. Strong ir absorbance of 1 at 1695, 1650 and 1620 cm⁻¹ has been attributed to its CHO, CO and C=C groupings, respectively [17]. Dipole moment and ir data indicate it to have S-cis-configuration stabilised by intramoleclar hydrogen bonding [21]. In its ¹H-nmr spectrum the aldehydic proton and the proton at the 2-position appear at approximately δ 10.40 and 8.60 ppm respectively [17]. The ¹³C nmr spectrum [22,23] and mass spectral fragmentation [17] of 3-formylchromone have also been fully described. The protonation of 1 has been examined by uv, the basicity constant calculated using different equations differing markedly. The Bunnett-

Olsen equation is the most suitable for estimation of its reactivity and for comparison with quantum chemical calculation [24]. The ir frequencies of protonated chromone-3-carboxaldehyde indicate its ketonic oxygen having higher basicity than that of the aldehydic oxygen [25]. Molecular orbital parameters as electron charge, net free charge, frontier electron density, bond order, delocalisation energy and free valency for 1 have been calculated, and a correlation between these parameters and antiasthmatic

activity of the compound has been expressed [26]. Polarogram of 1 contains two waves corresponding to the reduction of the aldehyde group; Swain-Lupton relations are obtained for half-wave potentials (E), the latter also having linear relationship with the proton acceptor ability of the compound [27].

IV. Oxidation and Reduction.

Nohara et al. [28] studied the oxidation of 3-formylchromone (1) with several reagents. Aqueous solution of 30% hydrogen peroxide in acetic acid brings about oxidative degradation of 3-formylchromone to salicylic acid; the same reagent in acetone gave a mixture of products. Other oxidation with silver oxide, arsenic oxide, dilute nitric acid, chromic anhydride-dimethylformamide, or electrolytic oxidation failed to give satisfactory results. Oxidation with chromic anhydride in acetic acid did produce chromone-3-carboxylic acid but in a very poor yield. However, oxidation by Jone's reagent (chromic anhydride-acetone) at 15-20° improved the yield of chromone-3-carboxylic acid. A higher yield (~75%) of the acid is obtained by oxidation of the aldehyde with sodium hypochlorite at 10-20° in the presence of sulfamic acid, resorcinol or pyroglutamic acid as chlorine scavengers [29]. Under the conditions of the latter two oxidation processes, an alkyl, alkoxy, acetoxy group attached to benzene ring remain unaffected. Platinum catalysed oxidation of 1 has not been properly investigated [cf. 30]. Treatment of a solution of 1 in carbon tetrachloride with 1.2 equivalents of N-bromosuccinimide under reflux in the presence of azobisisobutyronitrile or under illumination and subsequent quenching with water also affords chromone-3-carboxylic acid in excellent yield, any ethyl, isopropyl, benzyloxy group attached to the benzene ring, however, undergoing concomitant benzylic oxidation [31,32]. Baeyer-Villiger oxidation of 1 gives 3-hydroxychromone [33].

Though the attempts to hydrogenate 3-formylchromone with palladium black in methanol or platinum oxide in acetic acid were unsuccessful, the reduction of 1 with sodium borohydride in the presence of anhydrous aluminium chloride in tetrahydrofuran to 3-hyroxymethylchromone 2 in 10-12% yield had been achieved [17,28]. Borane in tetrahydrofuran brings about smooth and quantitative reduction of 1 to 2 [34].

V. Electrophilic Substitution.

Electrophilic substitution of 1 is not well studied. The nitration reaction is worth special mention. The usual mixture of concentrated nitric acid and concentrated sulfuric acid nitrates 1 at its 6-position [17,28], whereas Curran et al. [35] reported with the proper mechanism the conversion of 1 by treatment with red fuming nitric acid to 5-nitro-2,3-benzofurandione 2-oxime 8.

VI. Electrophilic Addition.

Electrophilic addition to the 2,3-double bond of 1 is manifested in its reaction with sodium hypohalite in acetic acid medium [36]. An acetic acid solution of 1 when reacted with aqueous sodium hypochlorite gives mainly 3-chlorochromone 3 together with a small amount of 2-acetoxy-3,3-dichlorochromanone 9, similar reaction with hypobromite in the dark resulting in the corresponding bromo compounds 4 and 10. The latter reaction when conducted under normal lighting conditions produces the tetrabromoacetophenone 11 in addition to the normal products 4 and 10. The formation of 11 has been explained by assuming a radical reaction and that of the normal products by an ionic mechanism [36] as depicted in Scheme 1.

Scheme I

Na OBr, AcOH

trans - addition
of Br and AcO
Base

H OAc
CHO
Br
CHO
OAc
Br
AcOOAc
Br

VII. Nucleophilic Addition.

In 3-formylchromone there are three electron deficient sites viz. C-2, aldehydic carbon and the benzylic carbonyl carbon, the last one having obviously the least electrophilicity compared to that at the other two centres. The reaction of 1 with any nucleophile YH₂ such as an amine, hydrazine, monosubstituted hydrozine, hydroxylamine or a reactive methylene compound in conjunction with an appropriate base gives initially the condensation product 12 that appears to arise by a straight forward 1,2-addition of the nucleophile to the aldehyde function (Scheme 2-Path a). An alternative route involving the 1,4-addition of the nucleophile with concomitant opening of the pyrone ring and subsequent recyclisation of the intermediate 13 via 14 (Scheme 2-Path b) may also be evisaged for the formation

of 12. Because of the "chemical symmetry" of the formyl group and C-2 of the chromone, it is very difficult to pinpoint whether a nucleophile is undergoing 1,2- or 1,4-addition to 3-formylchromone. The best way to sort out this problem is to label 1 specifically at either the 2-position or the aldehyde function, subject the labelled compound to an appropriate nucleophilic addition reaction and subsequently analyse the resultant condensation product or any degradation product thereof. Unfortunately, none of the published methods [12-19] for the preparation of 3-formylchromone is suitable for incorporation of the desired specific labelling. The question of 1,2- or 1,4-addition that has been partially answered by studying the reaction of 1 with amidines and enamines is discussed in later sections.

VII. 1. Nucleophilic Addition of Nitrogenous Nucleophiles.

A. Addition of Amines.

No reaction of 1 with any simple aliphatic monoamine is reported as yet. Very recently, interaction of 1 with ethyl aminoethanoate in refluxing toluene containing p-toluenesulfonic acid has been reported to give a mixture of ethyl 4-(2-hydroxybenzoyl)-6-(4-oxo-4H-1-benzopyran-3-yl)pyridine-2-carboxylate and ethyl 4-(2-hydroxybenzoyl)pyrrole-2carboxylate [37]. Refluxing an equimolar mixture of 1 and ethylenediamine in benzene gives 1,2-bis[4-oxo-4H-1benzopyran-3-ylmethyleneaminolethane (15) [38], a two fold excess of the amine resulting in the formation of the E-isomeric aldimine 16 in a very poor yield. The formation of the acetophenone derivative 17 by reacting 1 with aniline has been cursorily mentioned [39]. Fitton et al. [40,41] made a thorough investigation into the above reaction. 3-formylchromone reacts with an aromatic primary amine (1:1 molar ratio) to give a mixture of 3-(aryliminomethyl)chromone 18 and 2-arylamino-3-(aryliminomethylene)chroman-4-one 19, excess of the amine producing only the 1,4-adduct 19 [40]. The latter is formed by Michael addition of a second molecule of the amine to 18. The 3-aryliminomethyl group not only stabilises the pyrone ring of 18 towards the usual ring cleavage by amines, but also facilitates the addition of other external nucleophiles which would not otherwise react with the pyrone ring as evident by Michael addition of alcohols and thiols to the anil 18 [41]. The aldehyde 1 condenses with 2-aminofurans [42] and 4-aminothiomorpholine 1,1-dioxide [43] to give simply the azomethine derivatives.

Aniline (20) having a nucleophilic functionality XH (X = 0, S, NH, NCH₃ etc.) at its ortho position condenses with 1 giving the fused seven-membered heterocycle as 21 that dehydrogenates to 22 either by spontaneous air oxidation or on treatment with chloranil [44] or nitrobenzene [45]. Recently, a Swiss group [46] has shown that the condensation product of 1 and o-phenylenediamine 20 (X =NH), tentatively assigned as the anil 27 by Ghosh and Khan [38] or the dihydrodiazepin 21 (X = NH) by Fitton et al. [44] has a complicated tetraaza[14]annulene structure, and it on oxidation by chloranil [44] or on digestion in acetic acid [38,45] gives the benzimidazole 24, not the isomeric benzdiazepin 22 (X = NH) as proposed earlier [38,44,45]. However, the structure 24 assumed merely on the basis of its ¹³C-nmr spectrum is not convincing to the present reviewer.

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Thioglycollic acid (or its ethyl ester) gives a 1,4-adduct with the anil 18 that cyclises to the fused thiazepinone 25 provided there is an electron-donating substituent in the amine moiety of the anil [41]. Activated manganese dioxide transforms 18 to 3-(arylaminomethylene)chroman-2,4-dione 26, presumably via oxidation of 2-hydroxy-3-aryliminomethylene)chroman-4-one intermediate [41]. Compound 18 reacts with dichloroketene or chloro(phenyl)ketene to afford the fused pyridine derivative 28, the latter presumably arising from the [4 + 2] adduct 27 by spontaneous dehydrohalogenation [47].

A secondary aliphatic amine undergoes 1,4-addition to 1, the resultant adduct undergoing in presence of the base spontaneous Grob fragmentation to yield the transenaminoketone 29 [48]. Triethylamine gives with 1 a complicated mixture from which the dihydrobischromone 30 has been isolated in a poor yield (~5%) though pyridine fails to bring about any change in 3-formylchromone [49]. The reaction of 1 with an aromatic tertiary amine as N,N-diethylaniline in sulfuric acid is known to produce a leuco base which on dehydrogenation with lead tetraacetate gives a green dye 31 [15] analogous to Malachite Green. A similar reaction of the aldehyde 1 with 3-N, N-diethylaminophenol forms the bischromone 32 [15]. Unlike aromatic aldehydes, chromone-3-carboxaldehyde does not condense with ethyl 2-methyl-2,4-dimethylpyrrole-3-carboxylate to give trihetarylmethane derivative, but it condenses with strongbasic kryptopyrrole (2,4-dimethyl-3-ethylpyrole) in

presence of boron trifluoride etherate to give 2,4-dimethyl-3-ethyl-4-(4-oxo-4*H*-1-benzopyran-3-ylmethylene)-pyrrilium fluoborate, the addition of a second molecule of kryptopyrrole to this pyrrilium salt not taking place at all [50].

B. Addition of Hydrazines.

The reaction of 1 with hydrazine and monosubstituted hydrazines has been studied in some details by Ghosh and Mukhopadhyay [51]. On being treated with hydrazine and phenylhydrazine, 1 produces the hydrazones 33 and 34 which on prolonged boiling in ethanol furnish by intramolecular addition - elimination sequence the pyrazoles 38 and 39 respectively. On the other hand, the hydrazones 35-37 do not give any pyrazole even on prolonged refluxing in either ethanol or acetic acid. As the thermal energy is increased by refluxing these hydrazones in ethylene glycol, the semicarbazone 35 and the hydrazone 36 afford the same pyrazole 38 as obtained from 1 and hydrazine, and the hydrazone 37 isomerises to 40. Eiden and Haverland [52] reported by refluxing in ethanol a mixture of 1 and phenylhydrazine in a 1:2 molar ratio the formation of the phenylhydrazone 42 of 1-phenyl-5-(2-hydroxyphenyl)pyrazole-4-carboxaldehyde. A later publication [53] describes the reaction of 1 with excess phenylhydrazine leading to the formation of 39, 41 and 42. Here the initially formed hydrazone 34 takes up two different reaction courses as shown in Scheme 3. It undergoes intramolecular 1,4-addition with concomitant opening of the pyrone ring to 39 that gets derivatised by phenylhydrazine present in excess to form 41 (Path a). In the other course of reaction, nucleophilic attack by phenylhydrazine at C-2 of

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the pyrone moiety of 34 opens up the pyrone ring, (Path b), the resultant intermediate further cyclising to 42. Dimethylhydrazone 43 of 3-formylchromone on refluxing with phenylhydrazine and guanidine in ethanol gives respectively the same pyrazole 39 and pyrimidine 44 [54] as are obtained from the parent aldehyde 1 under similar conditions.

C. Addition of Hydroxylamine.

When 3-formylchromone is treated with hydroxylamine hydrochloride in a 1:1 molar ratio, 3-hydroxyiminomethylchromone 45 results. The facile dehydration of the oxime 45 by refluxing it either in formic acid in presence of sodium formate or in ethanol containing hydrochloric acid to 3-cyanochromone 46 [55] suggests that the oxime has Z-isomeric structure. While refluxing 1 with hydroxylamine hydrochloride in presence of hydrochloric acid, 4-(2-hydroxybenzoyl)isoxazole 47 is also obtained in nearly 8% yield as against 75% yield of 46, the former can be converted to the latter in quantitative yield by heating in dimethylsulfoxide [55]. Recently, the reaction of 1 with hydroxylamine has been reinvestigated by a Polish group [56]; depending on the reaction conditions and the proportion

of the reagents used, the compounds 48-52 are formed. The formation of 48 and 49 from 1 with excess hydroxylamine under alkaline condition is analogous to the formation of 41 and 42 by reacting 1 with excess phenylhydrazine and can be rationalised by the same type of mechanism as shown in Scheme 3. The mechanism for the formation of 50 and 51 under acidic condition is depicted in Scheme 4. Alkali converts 45 to 53 [15] that is further derivatised by hydroxylamine to 52.

The pyrazoles 38 and 39 arise from the reaction of the oxime 45 with the hydrochlorides of hydrazine and phenylhydrazine respectively; with free hydrazine base, an ethanolic solution of 45 as well as a benzene solution of 46 affords the hydrazone 54 (R = H, Ph) corresponding to 2-amino-3-formylchromone 53 [54]. An aliphatic amine induces self-condensation of 45 as well as 46 to the 1,5-diazocine derivative 55 susceptible to hydrolysis to 53 [54]. With guanidine the oxime 45 and the nitrile 46 give the fused pyrimidines 56 and 57 [53,54] respectively. The oxime 45, like 2-amino-3-formylchromone (53) and 3-cyanochromone (46), condenses with active methylene compounds as acetylacetone, ethyl acetoacetate, diethyl malonate and ethyl cyanoacetate to give the fused pyridine derivatives 58-61 respectively [57,58].

D. Addition of Amidines.

Several conflicting reports regarding the action of amidines on 3-formylchromone have appeared in literature. By subjecting 1 to react with formamidine (62, R = H) Loewe [59] obtained 5-(2-hydroxybenzoyl)pyrimidine (63, R = H) and 5-hydroxy-5*H*-[1]benzopyrano[4,3-*d*]pyrimidine (64, R = H) in 13 and 31% yield respectively. This result is in agreement with the formation of a mixture of 63 ($R = NH_2$) and 64 ($R = NH_2$) by the action of guanidine (62, $R = NH_2$) on 1 [53]. Petersen and Heitzer [60] and also

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Loewe [61] were, however, able to isolate only the benzopyranopyrimidine 64 by reacting 3-formylchromone with C-substituted formamidines (62, R = alkyl, aryl, hetaryl, NH₂, SCH₃, OCH₃). An initial 1,2-addition of **62** to the aldehyde function of 1 can account for the formation of 63 but not the benzopyranopyrimidine system 64. Formation of both these products can, however, be rationalised in terms of an initial 1,4-addition of the nucleophile to the γ -pyrone system with concomitant opening of the pyrone ring (Scheme 5); the intermediate 65 thus formed may cyclise directly to 63 by involving its aldehyde and guanidino imine functions, and to 66 by involving the aldehyde and phenolic OH groups. The latter (66) analogous to the general formulation 14 in Scheme 2 through dehydration to 67 (step i) will ultimately lead to 63. The other reaction course of 66 is its cyclisation to 68 [62] (step ii). Two modes (a) and (b) of water elimination from 68 can be envisaged. The one (a) that relieves steric crowding at the benzylic centre and creates a double bond in conjugation with the benzene ring is energetically more favoured than the other (b) that leads to opening of the dihydropyrimidine ring via 69, the resulting intermediate 67 finally rearranging to 63. The preponderant, if not exclusive, formation of 64 from 1 and 62 entails that the intermediate 65 preferentially undergoes double cyclisation to 68, the latter by water elimination collapsing to 64.

E. Reaction With 1,2-Diketone in Presence of Ammonia.

4,5-Diphenyl-2-(4-oxo-4*H*-1-benzopyran-3-yl)imidazole **70** is obtained by relfuxing **1** with benzil and ammonium acetate in glacial acetic acid [63]. Here the benzil is first converted to the corresponding diimine with which chromone-3-carboxaldehyde reacts as a typical aromatic aldehyde to form the imidazole **70**.

VII. 2. Nucleophilic Addition of Carbanions.

A. Addition of Enamines.

That a carbanion undergoes 1,4-addition to 3-formyl-chromone is revealed from the formation of [1]benzopyrano[4,3-d]pyridines 72 or the benzoylpyrimidine 73 by reacting 1 with enamines 71 (Scheme 6). An acyclic [64] as well as a carbocyclic enamine [65] gives the fused pyridine 72 whereas a heterocyclic enamine [65] gives 73 with 1.

B. Addition of Active Methylene Compounds.

Chromone-3-carboxaldehyde condenses readily in presence of a base with active methylene compounds. Condensation of 1 with aryl methyl ketones gives chromone analogs of chalcone [66] which have been further condensed with o-aminothiophenol to give dihydrothiazepine derivatives [67]. Compound 1 gives E-β-(4-oxo-4H-1-benzopyran-3-yl)acrylic acid [68] and -acrylonitrile [69] with malonic acid and cyanoacetic acid respectively in the presence of pyridine. When condensed with cyanoacetamide in presence of pyridine containing traces of water, 1 gives some amount of 75 in addition to the expected 3-cyano-5-(2-hydroxybenzoyl)pyridin-2(1H)-one (74), the former being the normal condensation product of 1 and malondiamide [70]. Condensation of 1 with an equivalent amount of ethyl acetoacetate, acetylacetone and ethyl benzoylacetate in sodium acetate-acetic anhydride or pyridine-ethanol

yields the condensates **76-78** respectively [71]. 1,3-Indandione, thiohydantoin [72], oxindole [65], 5-nitrofurfuryltrichloromethylsulfone [63], 3-methyl (or monosubstituted methyl)-4-phenyl-3-cyclobutene-1,2-dione [74] and several pyrimidine derivatives as barbituric acid [65], 1,3-dimethyl-4-aminouracil and dipyrimidinopyran [75] have also been condensed with **1**. Dimidone gives with **1** in aqueous ethanol under pyridine catalysis the 2:1-adduct **79** [12] that on recrystallisation in ethanol containing hydrochloric acid is dehydrated to **80** [65]. Azalactone **81** and the rhodanine condensate **82** of chromone-3-carboxaldehyde have also been prepared [76].

The condensates 76 and 77 have been utilised for the preparation of benzophenone and pyridine derivatives. Thus, 76 on treatment with ethyl acetoacetate in ethanol under piperidine catalysis affords the benzophenone 83, the latter being also formed in one step by reacting 1 with excess ethyl acetoacetate in piperidine-ethanol medium [71]. Refluxing 77 or a mixture of 1 and acetylacetone in ethanol containing ammonium acetate furnished 3-acetyl-5-(2-hydroxybenzoyl)-2-methylpyridine (84) [77]. Compound 76 on similar treatment gives the pyridine 85 [65]. When treated with methanolic or ethanolic sodium carbonate, the azalactone 81 gives either the pyrrole-2-carboxylic ester 90 or the intermediate acrylic ester 88 [76]. Aminolysis of 81 to the amide 89 by diethylamine has been carried out at low temperature, excess amine at elevated temperature converting 81 to the pyrrole 91 [78]. treatment with aqueous sodium hydroxide, the rhodanine condensate 82 givs the thiophene-2-carboxylic acid 92 [76]. An elegant synthesis of 3-chromonylacetylene has been accomplished by condensing 1 with 3-methyl-5(4H)-

isooxazolone and subjecting the resultant methylene-oxazolone 93 to flash pyrolysis [79].

C. Addition of Diazoalkanes.

The reaction of 3-formylchromone with diazomethane was cursorily reported to yield 3-formyl-2-methylchromone in a very poor yield [17]. Later, it has been found [80] that the formyl group at 3-position not only activates the chromone ring towards attack by a diazoalkane, but also the formyl group itself is attacked. The formyl group is found to survive only when diazoethane is used. At -70° both diazomethane and diazopropane affect dual alkylation of 6-methyl-3-formylchromone (94). The former gives 95 and the latter mainly 96 along with a little of the cyclopropane derivative 97 which undergoes on keeping or on heating a sigmatropic shift leading to the enol derivative 98.

VIII. 3-Formylchromone as a Heterodiene.

3-Formylchromone being an α,β -unsaturated aldehyde activated by an electron-withdrawing group is a sufficiently active diene to participate particularly in inverse electron demand Diels-Alder reaction. Thus, with ethyl vinyl ether it gives a [4+2] cyloadduct [81], and with diphenyl-ketene a mixture of [1]benzopyrano[3,2-c]pyran derivative 99 and 3-(diphenylvinyl)chromone (100), the latter being formed exclusively at elevated temperature [39]. In the latter reaction the initial adduct 99 undergoes thermal rearrangement with extrusion of carbon dioxide to give 100. Apart from the anticipated thermal rearrangement, treatment with a base also brings about the conversion of the pyran 99 to 100. The mechanism of this conversion reaction may be as depicted in Scheme 7.

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